| Reference                                | 20/CAG/01   | 30  |  |
|--|---|---|--|
| Other Refs                               | 20/CAG/0130   |   |  |
| Application Title                        | Yorkshire and Humber Care Record (YHCR) Population Health Management (PHM) for non-<br>research purposes  |   |  |
| Application<br>Summary                   | This application from Humber Teaching NHS Foundation Trust set out the purpose of creating a<br>Population Health Management Solution, which will allow organisations in the Yorkshire and<br>Humber Region to request and receive data for non-research purposes, as part of the Local<br>Health and Care Record Exemplar (LHCRE) Programme.   |   |  |
|  | Population Health Management is a technique for local health and care partnerships to use data to<br>design new models of proactive care and deliver improvements in direct care resulting in a positive<br>impact upon health and wellbeing of patients. This will take advantage of digital technologies and<br>data analytics that can be used to prevent avoidable delays in diagnosis, unnecessary repeat tests<br>and reduce clinical uncertainty that can slow down the speed at which people are able to begin to<br>receive the treatment and care they need. The main aims of this work are to  |   |  |
|  | <ul> <li>Improving the physical and mental health outcomes and wellbeing of people, whilst reducing health inequalities within and across the Yorkshire and Humber region.</li> <li>The reduction of re-occurrence of ill-health, including addressing wider determinants of health, and requires working with communities and partner agencies.</li> <li>Addressing the wider determinants of health to early intervention, primary, secondary and tertiary disease prevention.</li> <li>Support is requested for the transfer of confidential patient information from participating organisations in the Yorkshire and Humber region to Humber Teaching Hospitals NHS Foundation Trust. The NHS number of patients will then be collated and sent to the NHS Digital's National De Identify / Re-Identify service, who will return a pseudonym. This will be applied to the data to</li> </ul> |   |  |
|  |   |   |  |
|  | render it pseudonymous prior to the person requesting access to view the data for analysis on the<br>Humber Teaching Hospitals NHS Foundation Trust system.   |   |  |
| Applicant<br>Organisation Name           | Humber Teaching NHS Foundation Trust  |   |  |
| Contact Name                             | Johnny Chagger  |   |  |
|  | Humber Teaching NHS Foundation Trust<br>Willerby Hill, Beverley Road  |   |  |
|  |   | ast Riding of Yorkshire   |  |
|  | HU10 6ED  |   |  |
| Telephone<br>Email                       | 07590 914   | 439   |  |
| Medical Purposes                         |   | preventative medicine   |  |
|  |   | medical diagnosis   |  |
|  |   | medical research, approved by a research ethics committee<br>the provision of care and treatment  |  |
|  | х   | the management of health and social care services   |  |
|  |   | informing individuals about their physical or mental health or condition, the diagnosis of<br>their condition or their care and treatment |  |
| Cohort/Population                        | All patients  | and service users irrespective of age or characteristics from organisations in the  |  |
|  | Yorkshire and Humber area who have not opted out via the national data opt-out.<br>The applicants anticipate that 5.5 million service users will be included once fully deployed  |   |  |
| Description of                           | Data sources  |   |  |
| confidential patient<br>information used | <ol> <li>Humber Teaching Hospitals NHS Foundation Trust</li> <li>Rotherham, Doncaster and South Humber NHS Foundation Trust</li> <li>Doncaster and Bassetlaw Teaching Hospitals NHS Foundation Trust</li> </ol>   |   |  |
| S251 Class(es)                           | v   | Specific Support<br>Class I - making the person less readily identifiable   |  |
|  | x   | Class I - making the person less readily identifiable<br>Class II - present or past geographical locations of patients                    |  |
|  |   | Class III - to identify and contact patients to obtain consent  |  |
|  |   | Class IV - linking multiple sources; validating quality and completeness; avoiding error  |  |
|  | x   | Class V - audit, monitoring, & analysis of healthcare provision<br>Class VI - granting of access to data for purposes I-V                 |  |
| Sponsor                                  |   |   |  |
| Status                                   | Supported   |   |  |
| Next Review Date                         | 12/04/2022  |   |  |
| Notes                                    | <b>O2 November 2022 - amendment supported to</b> remove the NHS Digital De-identify/Re-identify service and replace it with the Interweave Exchange   |   |  |
|  | 12 April 2021 - Application supported   |   |  |
|  | Conditions of support:  |   |  |
|  | <ol> <li>Support is provided for the medical purpose of the non-research activity of the management of<br/>health and social care only and does not extend to medical research.</li> </ol>  |   |  |
|  | 2. In the annual review submissions:  |   |  |
|  | <ul> <li>a. The patient notification materials are to be reviewed as part of the continuing patient and<br/>public involvement and any changes or updates are to be reported at annual reviews.</li> <li>b. the numbers of patients who opt-out are to be reported at annual reviews.</li> </ul>  |   |  |
|  |   |   |  |
|  | 3. This support applies only to Humber Teaching Hospitals NHS Foundation Trust, Rotherham, Doncaster and South Humber NHS Foundation Trust and Doncaster and Bassetlaw Teaching Hospitals NHS Foundation Trust in the first instance. Where further organisations are in a position to undertake this work an amendment should be submitted to extend this support to these organisations.  |   |  |
|  | 4. Confirmation provided from the IG Delivery Team at NHS Digital to the CAG that the relevant<br>Data Security and Protection Toolkit (DSPT) submission(s) has achieved the 'Standards Met'<br>threshold.  |   |  |
|  | <b>Confirmed:</b> -<br>The NHS Digital DSPT submission for Humber Teaching NHS Foundation Trust (18/19),<br>Rotherham, Doncaster and South Humber NHS Foundation Trust (19/20) and Doncaster and<br>Bassetlaw Teaching Hospitals NHS Foundation Trust (18/19) was confirmed as 'Standards Met' by<br>NHS Digital (by check of DSPT tracker on 18 October 2020).   |   |  |
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